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T12 Rec'd PCT/PTO 14 DEC 2004 1/4

Rec'd PCT/PTO 13 DEC 2004

## Description

IDENTICAL PUNCTURING OF UE IDENTIFICATION DATA AND LOAD DATA IN  
THE HS-SCCH CHANNEL

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The present invention relates to a method for transmitting data wherein the transmission bit rate is defined via the physical channel.

10 Owing, for example, to their being embedded in specific transmission formats, transmission channels in mobile radio systems only offer fixed data or, as the case may be, raw data transmission rates, while the data rates of different signals or applications differ therefrom. Said data rates consequently generally have to  
15 be mutually matched at an interface.

Matching of said type is described below using an example taken from the UMTS standard:

20 In the UMTS (Universal Mobile Telecommunication System), data packets are sent to a mobile station (UE = User Equipment) on the high-speed downlink shared channel (HS-DSCH). The associated control information, such as the channelizing codes used for the HS-DSCH and the modulation scheme, for example QPSK (Quadrature Phase  
25 Shift Keying) or 16QAM (16 Quadrature Amplitude Modulation), is sent on the high-speed shared control channel (HSSCCH). Said control information or, as the case may be, this load data is linked to identification information so that the receiving mobile station can recognize that the information on the HS-SCCH is intended for  
30 it. "Masking of the data" is a term also used in this connection. Prior to linking, both load data and identification data undergo coding and in each case immediately ensuing rate matching.

This process is highly complex, however, which is disadvantageous  
35 particularly where the mobile radio device is concerned inasmuch as said coding and rate matching processes are cancelled again in order to arrive at the original (load) data.

Proceeding from this prior art, the object of the present invention is to carry out rate matching in a less complex manner in one channel used jointly by a plurality of communication participants.

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Said object is achieved by means of a method according to the features of independent claim 1. Advantageous developments will emerge from the dependent claims.

10 The invention centers on organizing rate matching for load data and identification data, serving to indicate for what device the data is intended, according to a common scheme, with overall coding being effected in one channel used jointly by a plurality of communication participants. The advantage of this is that decoding, in particular on the recipient's side, is made less complex.  
15 A further feature of the invention is aimed at providing a rate matching pattern that permits rate matching in accordance with a common scheme while retaining the original information as faithfully as possible.

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Advantages and embodiments of the invention are explained by way of example below with the aid of figures.

Figure 1 is an overview of overall coding in a channel where the data being transmitted is masked with the aid of the  
25 identification data;

Figure 2 is a scheme showing the individual operations involved in overall coding;

Figure 3 shows the manner in which overall coding has been realized hitherto in the HS-SCCH according to the prior art;

30 Figure 4 is an exemplary embodiment of a realization according to the invention of overall coding in the HS-SCCH;

Figure 5 shows an exemplary implementation on the recipient's side for receiving the HS-SCCH in the case of the specification currently employed (Release 99);

35 Figure 6 is an exemplary embodiment of the implementation on the recipient's side in the case of overall coding according to the proposal shown in Figure 4.

**Overall coding of load and identification data****Figure 1**

Figure 1 is a schematic of overall coding for load data (LD: Load Data) and identification data (ID: Identification Data) sent on a jointly used channel in a communication system. Transferred data (TD: Transferred Data) here consists of load data (LD) that is linked to the identification data ID in order to make it apparent for which recipient said transferred data TD is intended. Load data LD and identification data ID is linked as part of overall coding, in most cases channel coding (CC: Channel Coding). Channel coding is understood as referring to the matching of digital values to the physical transmission medium, meaning, for instance, coding followed immediately by rate matching. Overall coding refers in this case to the coding, rate matching, and linking of the load and identification data. It is not, however, absolutely essential for all the listed steps to be carried out: overall coding can, for instance, also comprise coding alone with no rate matching.

Although the scheme shown in Figure 1 is known per se, the prior art and the invention differ with respect to the procedure employed for overall coding.

**Figure 2**

Individual procedural blocks within overall coding CC are shown broken down in Figure 2. The load data LD is first subjected to coding C\_LD. Redundancy is added to the load data LD in the course of said coding, for which in particular convolutional codes are used, as a result of which the sent data TD can be recovered more reliably on the recipient's side. The code respectively employed for coding is characterized by its code rate  $R=K/N$ , where K is the number of data bits or message bits to be transmitted and N is the number of bits present after coding. As a rule, the efficiency of the code is greater the lower the code rate. However, a problem associated with the coding is that the data rate is reduced by the factor R.

In order to match the data rate of the coded data stream to the respectively possible transmission rate, rate matching RM\_LD is performed in the transmitter whereby, in keeping with a specific 5 pattern, bits are either removed from or repeated in the data stream. The removal of bits is referred to as "puncturing" and their repetition is referred to as "repeating".

The identification data ID is analogously first subjected to coding C\_ID and then to rate matching RM\_ID. The identification data 10 and load data are then linked to each other in a linking operation L, through which the data TD being transferred is formed.

Although the procedure shown in Figure 2 is known in terms of its 15 principle, the prior art and the invention differ in the way rate matching is implemented for the load data LD and identification data ID.

### Figure 3

20 Figure 3 illustrates the implementation of overall coding of HS-SCCH Part 1 according to the current UMTS standard specification (FDD, Release 5). The load data LD is here formed by the channel information bits  $x_{ccs,1}, x_{ccs,2}, \dots, x_{ccs,7}$ . The channel information bits are referred to in specialist technical circles as "channelization 25 code set bits". Modulation scheme bit  $x_{ms,1}$  also flows into the load data. Said load data is encoded by means of a rate 1/3 convolutional encoder according to the standard established in 1999 (Release 99). Eight what are termed tail bits appended to the end of the bit block prior to said coding enable simpler and more reliable decoding on the recipient's side. The multiplexer MUX enables 30 channel information bits  $X_{ccs}$  and the modulation scheme bit  $X_{ms}$  to be interrogated in an alternating manner. The totality of data present after the multiplexer's operations is referred to as  $X_1$ .

35 16 bits are thus present at the input side of the coder or encoder or, as the case may be, prior to the coding operation C\_LD, whereas 48 bits are present at the output side of the encoder En-

cod or, as the case may be, after the coding operation C\_LD, owing to the rate 1/3. Let this coded bit block be designated Z<sub>1</sub>. The index 1 signifies that it is a quantity concerning Part 1 of the HS-SCCH. Part 1 of this control channel contains data which the recipient must decode immediately in order to process incoming data on the HS-DSCH (HS-DSCH = HS Downlink Shared Channel) accordingly. The presence of the data of part 2 is correspondingly less time-critical.

10 However, only 40 bits are available on the physical channel, which is to say the actual transmission channel, for transmission for Part 1 of the control channel HS-SCCH. In order to arrive from 48 bits to the 40 bits which can be physically transmitted in Part 1, rate matching is performed according to the following rate matching pattern (pattern 1): From the bit block or the sequence Z<sub>1</sub> proceeding from the coding operation C\_LD, the bits are punctured at positions 1, 2, 4, 8, 42, 45, 47, 48. If use is made of a notation with a second index j, which identifies the bit position and, in the case shown, runs from 1 to 48, then the bits being punctured 15 can be specified as Z<sub>1,1</sub>, Z<sub>1,2</sub>, Z<sub>1,4</sub>, Z<sub>1,8</sub>, Z<sub>1,42</sub>, Z<sub>1,45</sub>, Z<sub>1,47</sub>, Z<sub>1,48</sub>. The first index indicates as previously that it is Part 1 of the HS-SCCH. In this notation the sequence R<sub>1,1</sub>, R<sub>1,2</sub>, ... R<sub>1,40</sub> will then be present in Figure 3 after the rate matching operation.

25 The control channel HS-SCCH is monitored by a plurality of mobile stations or mobile radio devices (UE: User Equipment). To identify the respectively addressed mobile station UE or, as the case may be, so that said mobile station can decode Part 1, and also so that a mobile station which is not addressed will recognize this 30 fact, the load data, consisting of channel information data and of the modulation scheme, is identified by means of the identification data or, as the case may be, by means of a specific mask dependent on the mobile station's identification number. In the case illustrated here, what is termed a scrambling code (mask) specific 35 to the mobile station's identification number is generated on the mobile station's 16-bit identification number (UE ID) by means of rate ½ coding according to the standard established in 1999 (Re-

lease 99).

The mobile station's identification number UE ID is assigned to the mobile station in the relevant cell by the respective base  
5 station.

Scrambling is understood as meaning "personalizing" of the information. This is done via what are termed "scrambling codes", by means of which the signal is modified in order to separate or to  
10 split signals intended for individual terminals or base stations from each other.

To generate the scrambling code, the 16 bits of the mobile station's identification number UE ID  $X_{ue,1}, \dots X_{ue,16}$  and the appended  
15 eight tail bits are coded according to the 1999 standard (Release 99) by means of the rate  $\frac{1}{2}$  convolutional coder (C\_ID).  $(16+8) \times 2 = 48$  bits of a sequence B are then also present at the output of the convolutional coder. In order to arrive here at the length of 40 bits, for rate matching RM\_ID the rate matching algorithm taken  
20 from the 1999 standard (Release 99) is used for puncturing (RM\_ID), during which operation bits  $b_1, b_7, b_{13}, b_{19}, b_{25}, b_{31}, b_{37}, b_{43}$  in the sequence B, consisting of bits  $b_1, b_2, \dots b_{48}$ , where the index indicates the bit position, are punctured. The necessary reduction from 48 to 40 bits is produced with the sequence C, consisting of bits  $c_1, c_2, \dots c_{40}$ , formed in this way.  
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Different rate matching patterns are therefore used for the branch of the load data LD and the branch of the identification data ID for rate matching, RM\_LD and RM\_ID respectively, of said data. The  
30 reasons for this are as follows:

- The number of bits present in the branch with the identification data ID or, as the case may be, in the branch with the load data LD is generally not the same after the coding stage. The cause  
35 of this may lie both in the number of output bits, which is to say in the number of bits in the mobile station's identification number or, as the case may be, channel information bits or modu-

lation information bits, and in the rate of coding. Different rate matching will then perforce be necessary.

- Coding in the coding stage  $C_{LD}$  or, as the case may be,  $C_{ID}$  serves inter alia to interlace the bits so that the original bit sequence  $X_i$  or, as the case may be,  $X_{UE}$  can be restored on the recipient's side even if transmission conditions are poor. Interlacing that is good in these terms will of course appear different for different input data  $X_{UE}$  or, as the case may be,  $X_i$  ( $= X_{ccs}$  or  $X_{ms}$ ), in particular also when different coding rates are used.

10 Individual bits consequently have different significance after the coding stage. Said different significance depends on the number of coding stage input bits with which an output bit of the coding stage is associated. The greater the number of input bits flowing into the output bit, the more significant will be  
15 the output bit for restoring the original data. In one rate matching pattern, when data is punctured it is now in the main preferable to puncture those bits having in present terms lesser significance. In other words when different coding is employed, for example with different convolutional coders, followed by  
20 rate matching, different rate matching patterns result in different distance properties in terms of the Hamming distances of the resulting code sequences or, as the case may be, codewords, and hence determine the efficiency of the coding.

25 The use of different rate matching patterns and the computing and storage requirements associated therewith pose only a minor problem in the base station as suitable hardware is available there for managing even highly complex computing processes. However, this does not apply to the receiving mobile station.

30 As already mentioned, the aim of the invention is to make overall coding, rate matching in particular, less complex than it is at present, which is to say according to the specification according to Release 5.

35 A main feature of the invention is to perform rate matching for identification data ID and load data LD according to a common rate

matching pattern.

Basically two approaches to a solution are conceivable here:

- i) Using a common rate matching pattern but performing rate matching separately for load data LD and identification data ID.
- 5 ii) Using a common rate matching pattern and performing rate matching in common.

**Figure 4**

10 Figure 4 shows a procedural flow embodied according to approach ii), likewise for the example of the control channel HS-SCCH. In this case the identification data ID, here designated identification bit sequence  $X_{ue}$ , and the channel information data, here  $X_{ccs}$  and  $X_{ms}$ , are already linked to each other according to the respective coding  $C_{LD}$  or, as the case may be,  $C_{ID}$ , then subjected to common rate matching. Said linking is performed by means of, for instance, an XOR function if the two values a bit can in each case assume are defined with 0 and 1. If the values -1 and 1 are assumed, linking can be performed by means of a multiplication operation. It is, however, also possible to use other types of bit-  
15 by-bit linking.

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The data proceeding from the coding operation is designated, analogously to Figure 3,  $Z_1$  in Figure 4. As a departure from Figure 25 3, the bit block or, as the case may be, bit sequence or sequence  $R_1$  here designates the data prior to common rate matching but after linking.

The following advantages are achieved by means of a procedure according to approach i) or ii):

30 As rate matching is only performed with one rate matching pattern, decoding in the recipient device, for example the mobile station UE, is commensurately simpler. Reduced complexity is already achieved if rate matching is performed separately according to the same pattern for identification data ID and load data LD (approach 35 i).  
i).

If rate matching is combined according to approach ii), this will mean a further simplification.

#### Different rate matching patterns

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A further feature of the invention is the provision of a rate matching pattern that is suitable as a common scheme approximately equally for load data LD and identification data ID. A major aspect here, inter alia, is for the Hamming distance after linking 10 to be as large as possible, for example so that the linked data can be reconstructed as faithfully as possible if transmission was faulty. A large Hamming distance is desirable here also, in order, furthermore, to preserve the information content of the load data as well as possible. These and other criteria such as, for instance, 15 the signal-to-noise ratio, are, however, not necessarily mutually independent, a fact that can result, inter alia, in the attempt to find an "optimized" rate matching pattern leading to a plurality of different rate matching patterns which, expressed mathematically, could possibly also be designated as secondary 20 minima of the optimizing problem. Among others, some variants have particular advantages for the common rate matching pattern:

a) Use of the present puncturing algorithm (Release 99):

Bits  $r_{1,1}, r_{1,7}, r_{1,13}, r_{1,19}, r_{1,25}, r_{1,31}, r_{1,37}, r_{1,43}$  are punctured in the sequence  $r_{1,1}, r_{1,2}, \dots, r_{1,48}$ , thereby producing 25 the sequence  $s_{1,1}, s_{1,2} \dots s_{1,40}$ . The advantage of this is that only a small amount of matching is required in the system currently in use.

This puncturing pattern can, like other rate matching patterns, be shifted by means of, for example, an offset  $0 \leq k < 6$ . This means 30 that bits  $r_{1+k}, r_{7+k}, r_{13+k}, r_{19+k}, r_{25+k}, r_{31+k}, r_{37+k}, r_{43+k}$  are punctured in the case of the 1999 standard (Release 99).

b) The puncturing pattern optimized for the load data of Part 1 of the HS-SCCH "Pattern 1" [1] is used as the puncturing pattern:

35 Bits  $r_{1,1}, r_{1,2}, r_{1,4}, r_{1,8}, r_{1,42}, r_{1,45}, r_{1,47}, r_{1,48}$  of the sequence  $r_{1,1}, r_{1,2}, \dots, r_{1,48}$  are punctured, thereby producing the sequence  $s_{1,1}, s_{1,2} \dots s_{1,40}$ . This variant is especially advanta-

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geous as it optimally codes the HS-SCCH data and, furthermore, because the sequences for masking the data in the code space are distanced further from each other, which is to say have a larger what is termed "Hamming distance", than in the case of puncturing 5 according to the Release 99 puncturing algorithm.

The term "Hamming distance" is understood to mean the number of bits by which two equally long codewords differ. This is used for error detection by comparing received data units with valid characters. Any correction required is performed applying the probability principle. 10

c) A new puncturing pattern which simultaneously optimizes the coding characteristics of the data of Part 1 of the HS-SCCH and 15 the detection possibilities of masking with the UE ID, can be achieved by means of optimization whereby the secondary conditions are pre-defined by the data structure in the identification data branch and in the load data branch.

20 **Simplified decoding on the recipient's side**

As already explained, the proposed simplification of rate matching offers a major advantage particularly on the recipient's side, thus in a mobile station, for example, owing to less complex decoding.

25 Differences in decoding as performed at present and as can be performed according to the invention are explained below.

**Figure 5**

Figure 5 shows an exemplary implementation in the receiving device 30 as required by the present specification (Release 99). The transferred data TD is received via the air interface AI. Said transferred data TD is demodulated in the demodulator Demod. After being demodulated said data is, on the one hand, routed directly to a bit error counter; on the other hand it is linked to the masking 35 data by means of, for example, an XOR linking or multiplication operation. Said masking data is generated in the mobile station from the mobile station's identification number UE ID, which is

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coded then subjected to rate matching (RM2). Linking to the demodulated, transferred data TD takes places immediately thereafter. Rate matching RM2 of the masking data is necessary in order to match the bit lengths of the masking data to the bit length of 5 the received data TD.

Rate matching RM<sup>-1</sup> is rescinded for the linked signal prior to decoding Dec. Said data is decoded and, to check whether the information was intended for the respectively receiving mobile station, 10 is coded again and subjected to further rate matching RM1 before being linked to the masking data again. The result of this relinking operation likewise flows into the bit error counter. Error detection is based here on a processing of 40 bits, which is to say as many bits as are transmitted over the air interface AI for each 15 HS-SCCH subframe consisting of three what are termed time slots.

**Figure 6**

Figure 6 shows two exemplary implementations which can be used with rate matching performed according to the invention.

20 In the top illustration (DEC\_40), bit error detection in the bit error counter is likewise based on 40 bits. As the same rate matching pattern is used in the transmitter for identification data ID and load data LD, rate matching is first performed immediately ahead of the bit error counter jointly with the transferred 25 data TD received over the air interface. In this way there is hence a saving in one rate matching operation compared to the prior art, namely - as can be seen in Figure 5 - rate matching of the masking data prior to linking with the received data.

30 Specifically, the following steps are shown in the top example in Figure 6:

The transferred data TD is received over the air interface AI. Said data is split up after a demodulation operation Demod and flows on the one hand in a first branch directly into a bit error 35 counter; rate matching RM<sup>-1</sup> is rescinded or cancelled in the other branch, followed by linking to the masking data generated by coding the mobile station identification number. In contrast to the

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implementation shown in Figure 5, no rate matching of the masking data is necessary as rate matching of the transferred data was already rescinded prior to linking. The linked data undergoes decoding in a decoding operation Dec. On the one hand the required data 5 is then available; on the other hand, said data is subjected to coding in a further coding operation and linked to the masking data again. This is done for the purpose of error detection in the bit error counter into which said data flows after the relinking operation and a rate matching operation RM. To summarize, it means 10 a saving in one rate matching operation is achieved compared to the implementation shown in Figure 5. This is made possible by the use of a common rate matching pattern for load data LD and masking data ID in the transmitter; if different rate matching patterns were used, common rate matching in the rate matching unit RM in 15 Figure 6 ahead of the bit error counter would not lead, for instance, to the original signal.

Even clearer improvements, namely the saving of two rate matching operations, are achieved in the implementation shown in the lower 20 illustration in Figure 6.

In the lower illustration (DEC\_48), bit error detection is based on 48 bits. In this case it is only necessary to rescind rate matching. Further rate matching is not required.

25 Specifically, the following steps are performed in the lower illustration in Figure 6: The transferred data TD is received over the air interface AI. Rate matching RM<sup>-1</sup> is then cancelled, an operation which is necessary because, on the one hand, the data is routed in a first branch directly to the bit error counter in 30 which bit error detection takes place based on 48 bits; on the other hand said data is linked in a second branch to the masking data generated in the mobile station from the mobile station identification number UE ID.

The required data is then available after linking and immediately 35 ensuing decoding Dec. Analogously to the top example, for ensuing error detection the data is again subjected to coding Cod, then linked to the masking data. In contrast to the top example shown

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in Figure 6, rate matching after linking is not necessary as there are 48 bits on the basis of which error detection is also performed. No rate matching is therefore required in this implementation.

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#### Further possible applications

The joint use of rate matching patterns has been explained in particular for the HS-SCCH, but it is not restricted to this. Load data masking is also used for other control channels, as a consequence of which the invention can be used. There are further applications basically for any channels in which different data streams are linked to each other for transmission and rate matching is required.

#### 15 Abbreviations

HSDPA      High Speed Downlink Packet Access

HS-DSCH     High Speed Downlink Shared Channel (data)

HS-SCCH     High Speed Shared Control Channel  
(control information, signaling)

20    UE       User Equipment (designation for a UMTS mobile station)

      ID       Identification number

#### Sources:

25    [1] R1-02-0605, "Coding and Rate Matching for HS-SCCH", TSG RAN  
WG1 Meeting #25, Paris, 09-12.04.2002.